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<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			Docket No. 41PR-09040 (GEN-0292-P)	
Applicant(s): Trignor, et al				
Serial No. 10/682,225	Filing Date 10/08/2003	Examiner NYA	Group Art Unit 2182	
Invention: METHOD AND APPARTUS ACCESSING AND ACTIVATING ACCESSARY FUNCTIONS OF ELECTRONIC CIRCUIT BREAKERS			RECEIVED CENTRAL FAX CENTER JUN 15 2004	
<p>I hereby certify that this <u>Recordation Form Cover Sheet and Assignment Documents</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-872-9306)</p> <p>on <u>June 15, 2004</u> (Date)</p> <p><u>Mary Forcier</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u>Mary Forcier</u> (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p>				

Docket No.: 41PR-09040 (GEN-0292-P)

FORM PTO-1565 (Modified)  
(Rev. 03-01)  
OMB No. 0691-0027 (exp. 5/31/2002)  
P02/REV03

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## PATENTS ONLY

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Michael S. Tignor  
Michael B. Bradley  
LeeAnne Wambolt  
Geoffrey W. Butland

Additional names(s) of conveying party(ies)

☒ Yes ☐ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Correction to Recordation Form Cover Sheet

Execution Date: 9/22/03, 9/22/03, 9/15/03, 9/22/03

## 2. Name and address of receiving party(ies):

Name: General Electric CompanyInternal Address: CENTRALStreet Address: 1 River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/682,225

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philmore H. Colburn IIInternal Address: Cantor Colburn LLPStreet Address: 55 Griffin Road SouthCity: Bloomfield State: CT ZIP: 060026. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): .....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

06-1130

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David Arnold, Reg. No. 48,894

Name of Person Signing

Signature

June 15, 2004

18

Date

Total number of pages including cover sheet, attachments, and document: 18

Mail documents to be recorded with required cover sheet information to:

41PR-09040 (GEN-0292-P)

RECORDATION COVER SHEET  
**PATENTS ONLY**  
(ADDITIONAL INVENTORS)

Name of Conveying Party

Execution Date

Michael H. Thomas  
Paul Rajotte

10/6/03  
9/17/03

Page 2 of 2

GE Docket No. 41PR-09040 (GEN-0292-P)

**ASSIGNMENT**

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, Michael S. Tignor of 59 Princeton Terrace, Watertown, CT 06795; Michael B. Bradley of 22 Sequassen Road, Farmington, CT 06032; LeeAnne Wambolt of 17 Williams Street, Plainville, CT 06062; Geoffrey F. Butland of 8 Fable Lane, Farmington, CT 06032; Michael H. Thomas of Loeftzijde 30, 3823 TD Amersfoort, The Netherlands; and Paul Rajotte of 4 1851 N Green Valley Parkway, Apt. 2621, Henderson, NV 89074, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled, "**MODULE PLUGS FOR ELECTRONIC TRIP UNITS**" (Docket No. 41PR-09040) which claims priority to U.S. Application Serial No. 09/682,512 filed on September 12, 2001

☒ signed as of even date,

signed as of [date], or

filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 1

Michael S. Tignor  
Michael S. TignorDate: 9/22/2003State of Connecticut  
County of HartfordSS: Plainville

This 22<sup>nd</sup> day of September, 2003, before me personally came the above named Michael S. Tignor to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Marjorie M. Giudice  
(Notary Public)

MARJORIE M. GIUDICE  
NOTARY PUBLIC  
MY COMMISSION EXPIRES FEB. 28, 2005

Inventor 2

Michael B. Bradley  
Michael B. BradleyDate: 9/22/2003State of Connecticut  
County of HartfordSS: Plainville

This 22<sup>nd</sup> day of September, 2003, before me personally came the above named Michael B. Bradley to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Marjorie M. Giudice  
(Notary Public)

MARJORIE M. GIUDICE  
NOTARY PUBLIC  
EXPIRES FEB. 28, 2005

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 3

\_\_\_\_\_  
LeeAnne Wambolt Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named LeeAnne Wambolt to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

Inventor 4

Geoffrey F. Bulland Date: 9/22/2003  
Geoffrey F. Bulland

State of Connecticut SS: Plainville  
County of Hartford

This 22 day of September, 2003, before me personally came the above named Geoffrey F. Bulland to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Marjorie M. Giudice  
(Notary Public)

MARJORIE M. GIUDICE  
NOTARY PUBLIC  
MY COMMISSION EXPIRES FEB. 20, 2005

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 5

\_\_\_\_\_  
Michael H. Thomas Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Inventor 6

\_\_\_\_\_  
Paul Rajotte Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Paul Rajotte to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)



GE Docket No. 41PR-09040 (GEN-0292-P)

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, Michael S. Tignor of 59 Princeton Terrace, Watertown, CT 06795; Michael B. Bradley of 22 Sequassen Road, Farmington, CT 06032; LeeAnne Wambolt of 17 Williams Street, Plainville, CT 06062; Geoffrey F. Butland of 8 Fable Lane, Farmington, CT 06032; Michael H. Thomas of Loefzijde 30, 3823 TD Amersfoort, The Netherlands; and Paul Rajotte of 4 1851 N Green Valley Parkway, Apt. 2621, Henderson, NV 89074, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled, "**MODULE PLUGS FOR ELECTRONIC TRIP UNITS**" (Docket No. 41PR-09040) which claims priority to U.S. Application Serial No. 09/682,512 filed on September 12, 2001

☒ signed as of even date,

☐ signed as of [date], or

☐ filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 1

\_\_\_\_\_  
Michael S. Tignor Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Michael S. Tignor to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

Inventor 2

\_\_\_\_\_  
Michael B. Bradley Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Michael B. Bradley to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 3

Lee Anne Wambolt Date: 9/15/03  
LeeAnne Wambolt

State of Connecticut SS: Plainville  
County of Hartford

This 15<sup>th</sup> day of September, 2003, before me personally came the above named LeeAnne Wambolt to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Diane Bennett  
(Notary Public)

My Commission Expires  
July 31, 2007

Inventor 4

\_\_\_\_\_  
Geoffrey F. Butland Date: \_\_\_\_\_

State of \_\_\_\_\_ SS: \_\_\_\_\_  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Geoffrey F. Butland to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 5

\_\_\_\_\_  
Michael H. Thomas Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Inventor 6

\_\_\_\_\_  
Paul Rajotte Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Paul Rajotte to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 41PR-09040 (GEN-0292-P)

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, Michael S. Tignor of 59 Princeton Terrace, Watertown, CT 06795; Michael B. Bradley of 22 Sequassen Road, Farmington, CT 06032; LeeAnne Wambolt of 17 Williams Street, Plainville, CT 06062; Geoffrey F. Butland of 8 Fable Lane, Farmington, CT 06032; Michael H. Thomas of Loefzijde 30, 3823 TD Amersfoort, The Netherlands; and Paul Rajotte of 4 Farnhill Road, Plainville, CT 06062, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled, "**MODULE PLUGS FOR ELECTRONIC TRIP UNITS**" (Docket No. 41PR-09040) which claims priority to U.S. Application Serial No. 09/682,512 filed on September 12, 2001

☒ signed as of even date,

☐ signed as of [date], or

☐ filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 1

\_\_\_\_\_  
Michael S. Tignor Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Michael S. Tignor to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

Inventor 2

\_\_\_\_\_  
Michael B. Bradley Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Michael B. Bradley to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 3

\_\_\_\_\_  
LeeAnne Wambolt Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named LeeAnne Wambolt to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

Inventor 4

\_\_\_\_\_  
Geoffrey F. Butland Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

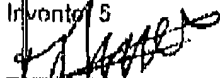
This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Geoffrey F. Butland to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor S

  
Michael H. Thomas

Date: 6th Oct. 2003

Witnessed by:

  
Signature

Date:

10-6-2003

W. Meyer-Haas  
Printed Name of Witness

Witnessed by:

Signature

Date:

Printed Name of Witness

Inventor G

Paul Rajotte

Date:

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Paul Rajotte to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)



GE Docket No. 41PR-09040 (GEN-0292-P)

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☒ signed as of even date,

☐ signed as of [date], or

☐ filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 1

\_\_\_\_\_  
Michael S. Tignor Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Michael S. Tignor to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

Inventor 2

\_\_\_\_\_  
Michael B. Bradley Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Michael B. Bradley to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 3

\_\_\_\_\_  
LeeAnne Wambolt Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named LeeAnne Wambolt to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

Inventor 4

\_\_\_\_\_  
Geoffrey F. Butland Date: \_\_\_\_\_

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Geoffrey F. Butland to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 41PR-09040 (GEN-0292-P)

Inventor 5

\_\_\_\_\_  
Michael H. Thomas Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Inventor 6

Paul Rajotte Date: 9/17/03  
Paul Rajotte

State of Connecticut ss: Bloomfield  
County of Hartford

This 17th day of September, 2003, before me personally came the above named Paul Rajotte to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

[Signature]  
\_\_\_\_\_  
NOTARY PUBLIC  
MY COMMISSION EXPIRES MAY 31, 2006